



SECTOR 5
PATENT # 3

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

December 20, 2000
Date

Judith A. Breaks
Judith A. Breaks

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jiangchun Xu et al.
Application No. : 09/649,811
Filed : August 28, 2000
For : COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF
COLON CANCER AND METHODS FOR THEIR USE

Docket No. : 210121.471C11

Date : December 20, 2000

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents:

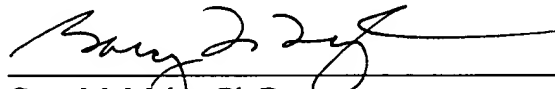
In response to the Notice to File Missing Parts dated October 20, 2000, please find enclosed a Declaration, Election and Power of Attorney, a copy of the Assignment, and a copy of the Formalities Letter for the above-identified application. Also enclosed is a General Authorization Under 37 C.F.R. § 1.136(a)(3).

The fees have been calculated as follows:

Basic Fee	\$ 710
Total Claims (87, 67 extra)	1,206
Independent Claims (18,15 extra)	1,200
Multiple Dependent Claims Surcharge	270
Missing Parts Surcharge	130
TOTAL	<u>\$ 3,516</u>

Enclosed is a check in the amount of \$3,516 for the requisite fees. The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



Gary M. Myles, Ph.D.
Registration No. 46,209

JEP:jab

Enclosures:

Postcard

Check

Copy of this Response

Fee Transmittal (+ copy)

Declaration

Election and Power of Attorney

Copy of Assignment

Copy of Formalities Letter

General Authorization Under 37 C.F.R. § 1.136(a)(3)

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900 / Fax: (206) 682-6031

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jiangchun Xu et al.
Application No. : 09/649,811
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For : COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF
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Docket No. : 210121.471C11

Date : December 20, 2000

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Assistant Commissioner for Patents:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Gary M. Myles, Ph.D.

Registration No. 46,209

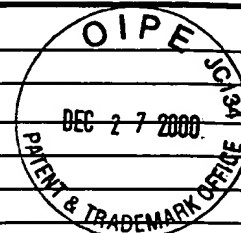
JEP:jab
701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity
statement, otherwise large entity fees must be paid.

Complete if Known

Application Number	09/649,811
Filing Date	August 28, 2000
First Named Inventor	Jiangchun Xu
Examiner Name	Not assigned
Group / Art Unit	Not assigned
Attorney Docket No.	210121.471C11



TOTAL AMOUNT OF PAYMENT (\$ 3,516)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge
indicated fees and credit any over payments to:

Deposit Account Number **19-1090**

Deposit Account Name **Seed Intellectual Property Law Group PLLC**

☒ Charge Any Additional Fee Required Under 37 CFR
§§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
101	690	201	355	Utility Filing Fee	710
106	310	206	155	Design Filing Fee	
107	480	207	240	Plant Filing Fee	
108	690	208	345	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	
SUBTOTAL (1) 355					(\$710)

2. EXTRA CLAIM FEES

Claims	Extra Claims X	Fee from below =	Fee Paid
87 -20	67	18	1206
Ind. Clms 18 -3	15	80	1200
Multiple Dependent Claims			270

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
103	18	203	9	Claims in excess of 20	
102	78	202	39	Indep. claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue indep. claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$2,676)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2520	147	2520	Filing a request for reexamination	
112	920*	112	920*	Request publication of SIR prior to Examiner action	
113	1840*	113	1840*	Request publication of SIR prior to Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive: unavoidable	
141	1210	241	605	Petition to revive: unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property	
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 130)

SUBMITTED BY:

Name **Gary M. Myles, Ph.D.**Registration No. **46,209**

Complete (if applicable)

Telephone **(206) 622-4900**

Signature

Date

December 20, 2000

3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/649,811	08/28/2000	Jiangchun Xu	210121.471C11

Jane E R Potter
Seed Intellectual Property Law Group PLLC
701 Fifth Avenue Suite 6300
Seattle, WA 98104-7092

FORMALITIES LETTER



OC000000005492543

Date Mailed: 10/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2636.
 - \$1206 for 67 total claims over 20.
 - \$1170 for 15 independent claims over 3.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3456.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

01/02/2001 ETULU1 00000059 09649811

01 FC:101	730.00	29
02 FC:103	1200.00	29
03 FC:102	1200.00	29
04 FC:104	270.00	29
05 FC:105	1200.00	29

PART 2 - COPY TO BE RETURNED WITH RESPONSE